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United States Patent and Trademark Office		DEWIPAT File No. 30.025.21.US	
<b>Information Disclosure Statement</b>			
Application No.	10/672,791	Attorney Docket	ARC 2813 D1 N1
Filed (yyyy-mm-dd)	2003-09-26	Customer No.	27777
Applicant	David E. Edgren et al.	Confirmation No.	9483
Examiner		Art Unit	1616
Title	Method of fabricating a banded prolonged release active agent dosage form		

Applicant submits<sup>1</sup>:

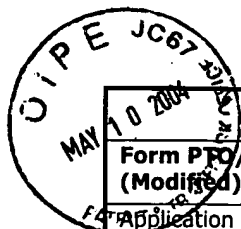
- ☒ References listed on the attached form PTO 1449\*.
  - ☒ Copies of references are enclosed (U.S. patents/applications omitted if filing date is after June 30, 2003).
  - ☐ A copy of a communication from a foreign patent office in a counterpart application is enclosed.
  - ☐ English language version of foreign search report indicating degree of relevance is enclosed.
- ☐ Under 35 U.S.C §120, this application relies on the earlier filing date of application serial number \_\_\_\_\_, filed on \_\_\_\_\_. The following references were submitted to and/or cited by the Office in the prior application and, therefore, are not provided in this application.  
\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

This statement is being filed:

- ☐ with the application.
- ☐ within three months of the filing date of the application.
- ☐ before the receipt of a first Office Action on the merits.
- ☐ after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance.
  - ☐ I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated \_\_\_\_\_, which is not more than three months prior to filing of this statement.
- ☐ after a first Office Action on the merits, but before receipt of a final Office Action or a Notice of Allowance.
  - ☐ Late submission fee \$ \_\_\_\_\_ under 37 CFR 1.17(p) is enclosed.
- ☐ after a final Office Action or a Notice of Allowance, but before payment of the issue fee. I, the undersigned, hereby certify that each item of information in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated \_\_\_\_\_, which is not more than three months prior to filing this statement. Applicant hereby petitions under CFR 1.97(d) for this statement to be considered.
  - ☐ Submission fee \$ \_\_\_\_\_ under 37 CFR 1.17(p) is enclosed.
- ☒ Please apply any additional charges or credits to Deposit Account No. **10-0750**.

SIGNATURE OF Applicant, Attorney, or Agent			
Name	Adenike A. Adewuya	Registration No.	42,254
Signature	<i>Adenike Adewuya</i>	Telephone	281-477-3450
Date	5/6/2004	Facsimile	281-477-3455
<input checked="" type="checkbox"/> *Total of 2 form PTO-1449 are submitted.			

<sup>1</sup> This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the enclosed documents constitute "prior art." If it should be determined that any of the submitted documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

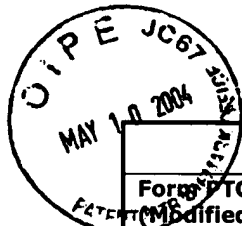


United States Patent and Trademark Office		DEWIPAT File No. 30.025.21.US	
Form PTO/SB/21 (Modified)		<b>General Transmittal Form</b>	
Application No.	10/672,791	Attorney Docket	ARC 2813 D1 N1
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Title	Method of fabricating a banded prolonged release active agent dosage form		

ENCLOSURES (check all that apply)	
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Preliminary <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52/1.53 <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition to Revive Abandoned Application <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Petition <input type="checkbox"/> Power of Attorney <input type="checkbox"/> Associate <input type="checkbox"/> Revocation & New <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund	<input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group ( <i>Appeal Notice, Brief, Reply Brief</i> ) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Acknowledgement Postcard  <input type="checkbox"/> Other Enclosure(s) (please identify below):          <u>Remarks:</u>          

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm/Individual	Adenike A. Adewuya, Reg. 42,254
Signature	<i>Adenike Adewuya</i>
Date	5/6/2004

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Typed or printed name		Adenike A. Adewuya	
Signature	<i>Adenike Adewuya</i>	Date	5/6/2004



United States Patent and Trademark Office		DEWIPAT File No. 30.025.21.US	
Form PTO-1449 (Modified)		<b>Information Disclosure Citation</b>	
Application No.		10/672,791	Attorney Docket
Filed (yyyy-mm-dd)		2003-09-26	Customer No.
Applicant		David E. Edgren et al.	Confirmation No.
Examiner			Art Unit
Title		Method of fabricating a banded prolonged release active agent dosage form	

Sheet 1 of 2

**U.S. PATENT DOCUMENTS**

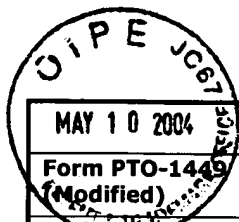
Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date yyyy-mm-dd	Applicant/Patentee
	A1	US-2052376	1936-08-25	Zellers
	A2	US-2962851	1960-12-06	Hall
	A3	US-4290426	1981-09-22	Luschen et al.
	A4	US-4327725	1982-05-04	Cortese et al.
	A5	US-4503031	1985-03-05	Glassman
	A6	US-4595583	1986-06-17	Eckenhoff et al.
	A7	US-4792448	1988-12-20	Ramade
	A8	US-4824677	1989-04-25	Shah et al.
	A9	US-4839177	1989-06-13	Colombo et al.
	A10	US-4874388	1989-10-17	Wong et al.
	A11	US-4915950	1990-04-10	Miranda et al.
	A12	US-5023088	1991-06-11	Wong et al.
	A13	US-5024842	06-18-1991	Edgren et al.
	A14	US-5256440	1993-10-26	Appel et al.
	A15	US-5266332	1993-11-30	Dong et al.
	A16	US-5326570	1994-07-05	Rudnic et al.
	A17	US-5422123	1995-06-06	Conte et al.
	A18	US-5520929	1996-05-28	Makino et al.
	A19	US-5534263	1996-07-09	Wong et al.
	A20	US-5667804	1997-09-16	Wong et al.
	A21	US-6365183	2002-04-02	Edgren et al.

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number-Kind Code	Publication Date yyyy-mm-dd	Applicant/Patentee	T
	A22	DE-4341548-A1	1995-06-08	BRENNER, Frank	
	A23	EP-0088556-A2	1983-09-14	Sumitomo Chemical Co. Ltd.	
	A24	EP-0403214-A1	1990-12-19	Nippon Elanco Kabushiki	
	A25	WO 99/07343-A1	1999-02-18	Univ. Utah Research Foundation	

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



MAY 10 2004		United States Patent and Trademark Office		DEWIPAT File No. 30.025.21.US	
Form PTO-1449 (Modified)		<b>Information Disclosure Citation</b>			Sheet 2 of 2
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Examiner		Art Unit	1616		
Title	Method of fabricating a banded prolonged release active agent dosage form				

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T
	A26	Conte, U., et al., Journal of Controlled Release, 26(1993), pp. 39-47, "Multi-layered hydrophilic matrices as constant release devices (Geomatrix™ systems)."	

EXAMINER: \_\_\_\_\_ DATE CONSIDERED: \_\_\_\_\_

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